

Classification of Brain Tumor Type and Grade Using MRI Texture and Shape in a Machine Learning Scheme

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The objective of this study is to investigate the use of pattern classification methods for distinguishing different types of brain tumors, such as primary gliomas from metastases, and also for grading of gliomas. The availability of an automated computer analysis tool that is more objective than human readers can potentially lead to more reliable and reproducible brain tumor diagnostic procedures. A computer-assisted classification method combining conventional MRI and perfusion MRI is developed and used for differential diagnosis. The proposed scheme consists of several steps including region-of-interest definition, feature extraction, feature selection, and classification. The extracted features include tumor shape and intensity characteristics, as well as rotation invariant texture features. Feature subset selection is performed using support vector machines with recursive feature elimination. The method was applied on a population of 102 brain tumors histologically diagnosed as metastasis (24), meningiomas (4), gliomas World Health Organization grade II (22), gliomas World Health Organization grade III (18), and glioblastomas (34). The binary support vector machine classification accuracy, sensitivity, and specificity, assessed by leave-one-out cross-validation, were, respectively, 85%, 87%, and 79% for discrimination of metastases from gliomas and 88%, 85%, and 96% for discrimination of high-grade (grades III and IV) from low-grade (grade II) neoplasms. Multiclass classification was also performed via a one-vs-all voting scheme. Magn Reson Med 62:1609–1618, 2009. © 2009 Wiley-Liss, Inc.

Key words: brain tumor; MRI; classification; SVM; feature selection; texture; tumor grade

Clinical decisions regarding the treatment of brain neoplasms rely, in part, on MRI at various stages of the treatment process. Radiologic diagnosis is based on the multiparametric imaging profile (CT, conventional MRI, advanced MRI). Tumor characterization is difficult because neoplastic tissue is often heterogeneous in spatial and imaging profiles (1), and for some imaging techniques often overlaps with normal tissue (especially the infiltrating part) (2,3). Gliomas might show mixed characteristics; for example, demonstrating both low- and high-grade features. The reference standard for characterizing brain neoplasms is currently based on histopathologic analysis fol-

lowing surgical biopsy or resection, but this also has limitations, including sampling error and variability in interpretation (1,4).

The objective of this study is to provide an automated tool that may assist in the imaging evaluation of brain neoplasms by determining the glioma grade and differentiating between different tissue types, such as primary neoplasms (gliomas) from secondary neoplasms (metastases). These issues are of critical clinical importance in making decisions regarding initial and evolving treatment strategies, and conventional MR imaging is often not adequate in providing answers (1,5). Automated tools, if proven accurate, can ultimately be applied to (i) provide more reliable differentiation, especially when the neoplasm is heterogeneous and therefore cannot be adequately sampled by localized needle biopsy; (ii) avoid invasive procedures such as biopsy, especially in cases where the risks outweigh the benefits; and (iii) expedite or anticipate the diagnosis (histologic examination is usually time consuming).

Toward a similar goal, researchers used conventional MR imaging and echo-planar relative cerebral blood volume (rCBV) maps calculated from perfusion imaging to differentiate between high-grade and low-grade neoplasms (6) or assessed the contribution of MR perfusion alone in differentiating certain tumor types (7,8). Many studies have used MR spectroscopy for brain tumor classification (9–11). Specifically, spectroscopic and conventional MR imaging was used in Wang et al. (9) to differentiate benign from malignant brain neoplasms, applying a decision tree algorithm, whereas spectroscopic and perfusion MRI was used in Weber et al. (10) to evaluate the inherent heterogeneity of brain neoplasms by defining four regions of interest (ROIs) in the tumoral and peritumoral region. Some studies used apparent diffusion coefficient maps computed from diffusion tensor imaging data to differentiate metastases from primary cerebral tumors (by measuring diffusion in peritumoral edema) (12) or combined apparent diffusion coefficient with rCBV to differentiate tumefactive demyelinating lesions and primary neoplasms from abscesses and lymphomas (13).

The previous studies are very useful in determining the clinical significance of each MR sequence separately; however, they do not investigate nonlinear relationships between different variables by pattern analysis. Pattern classification techniques were applied for differentiating brain neoplasms based on linear discriminant analysis (LDA) (14,15), or independent component analysis (16) on spectral intensities. Others applied support vector machines (SVMs) on perfusion MRI (17) or combined variable selection and classification using bayesian least squares SVMs and relevance vector machines on microarray or spectros-

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copy data (18). Textural features from T_1 postcontrast images were employed in (19) to discriminate between metastatic and primary brain tumors using a probabilistic neural network with a nonlinear least squares features transformation method. Although these studies apply more advanced machine learning techniques, they use a single MR sequence and do not investigate the contribution of multiple imaging parameters. Multiparametric features are explored by nonlinear classification techniques in (20,21). Li et al. (20) classify gliomas according to their clinical grade using linear SVMs trained on a maximum of 15 descriptive features (such as amount of mass effect or blood supply), which are estimated quantitatively by domain experts. The definition of such features is based on expert knowledge and therefore is not completely automated and reproducible. Devos et al. (21) combine standard MR intensities with spectroscopy imaging to improve classification performance using three classification techniques.

In this study, we explore the heterogeneous regions of brain tumors by combining imaging features from several sequences and extract morphologic and texture characteristics. Our analysis requires three ROIs, which define the neoplastic and necrotic region on contrast enhanced T_1 -weighted MRI, and edematous region on fluid attenuated inversion recovery (FLAIR) image. Instead of only measuring mean values in the ROIs, we investigate if conventional MRI has higher potential when complicated features are extracted, such as rotation invariant texture features based on Gabor filtering (22). Moreover, since it has been shown that contrast enhancement in conventional MRI is not sufficient for glioma grading or differentiating between metastasis and high grade tumor, we also incorporate rCBV maps. This approach incorporates imaging data which are (or can be) acquired in a routine clinical protocol, including multiparametric conventional MRI and perfusion.

We apply the method for binary classification, but also investigate the multiclass classification problem for differentiating between the most common brain tumors: metastasis, meningioma (usually grade I), low-grade glioma (grade II), grade III glioma, and glioblastoma (grade IV) according to the World Health Organization system. Grade II and grade III gliomas include astrocytomas (anaplastic or not), oligodendrogliomas, and oligoastrocytomas. The proposed framework consists of a training step, where the classifier learns the imaging characteristics of the different tumor types, and a testing step, where the classifier recognizes the tumor type in a new image. The framework is general and, if a significant number of observations (training samples) exist, can be applied to classify any other neoplasm and also non-neoplastic pathologies, including lymphoma, abscess, and encephalitis. We differentiate between tumor types by combining multiparametric MR images into a single classification rule rather than using single modalities independently. We exploit the potential of features extracted automatically from the images in order to avoid descriptive criteria, which are rater dependent and require prior knowledge (the help of experts).

The proposed scheme consists of four parts: ROI definition, feature extraction, feature selection, and classification based on SVMs. Leave-one-out cross-validation is

used to test the robustness and accuracy of the proposed classification scheme.

MATERIALS AND METHODS

We propose a multiparametric framework for brain tumor classification and prediction of degree of malignancy. Intensity- and texture-based features are integrated via a pattern classification technique into a multiparametric imaging profile. The features are first normalized to have zero mean and unit variance. A feature selection method is then used to select a small set of effective features for classification in order to improve the generalization ability and the performance of the classifier.

In this section, the clinical data and the acquisition protocol are first reviewed. Then, the preprocessing of the data and the ROIs for feature extraction are described, followed by the definition of the features. Finally, the methods for feature selection and classification are presented.

Data

We examined 98 patients (52 women, 46 men; age 17-83 years) with a diagnosis of brain neoplasm (from September 2006 to December 2007) who had not been treated at the time of MRI. Four patients had multiple (2), not related to each other, lesions that were regarded as independent masses. All patients underwent biopsy or surgical resection of the tumor with histopathological diagnosis. The total of 102 brain masses were histologically diagnosed and graded based on World Health Organization criteria as

- metastasis (24)
- meningiomas (4)
- gliomas grade II (22) including astrocytomas, oligodendrogliomas, oligoastrocytomas, ependymomas, and gliomatosis cerebri
- gliomas grade III (18) including anaplastic astrocytomas and (anaplastic) oligodendrogliomas
- glioblastomas (GBMs) (34) including 1 giant cell GBM

The primary sites of cancer for patients with metastatic lesions were lung (14), breast (5), melanoma (3), and esophagus (1). For one case, the primary tumor type could not be confirmed but most likely came from breast or lung. The study was approved by the institutional review board and was compliant with the Health Insurance Portability and Accountability Act.

The patients were imaged using a 3.0-T MRI scanner system (Magnetom Trio Tim System; Siemens Medical Systems, Erlangen, Germany), with a multichannel phased-array coil. The imaging acquisition protocol was the same for all patients and includes the following sequences: axial three-dimensional T_1 -weighted (T_1) (magnetization prepared rapid acquisition gradient echo pulse repetition time/echo time/inversion time 1760/3.1/950, matrix size 192×256 , pixel spacing 0.9766×0.9766 mm, slice thickness 1 mm), sagittal three-dimensional T_2 -weighted (T_2) (matrix size 256×320 , pixel spacing 0.8969×0.8969 mm, slice thickness 0.9 mm), FLAIR (pulse repetition time/echo time/inversion time 9420/141/2500, matrix size 192×256 , pixel spacing $0.9375 \times$

0.9375 mm, slice thickness 3 mm) and diffusion tensor imaging. Diffusion tensor imaging was not used as part of this study but will be incorporated in the future. Axial three-dimensional contrast-enhanced T_1 -weighted images (T1ce) were obtained after administration of a standard dose (0.1 mmol/kg) of gadodiamide with a power injector (Medrad, Idianola, PA). Also, after an initial loading dose of 3 mL gadodiamide and a 5-min delay, T_2^* -weighted dynamic susceptibility perfusion MRI was performed using a gradient echo EPI acquisition during bolus injection of 12 mL gadodiamide. Twenty slices were acquired with pulse repetition time/echo time 2000/45 ms, matrix size 128×128 , pixel spacing 1.7×1.7 mm, slice thickness 3.0 mm. rCBV maps were generated off-line by calculating the change in relaxation rate using the equation $\Delta R2^*(t) = -\ln(S(t)/S_0)/TE$, where $S(t)$ is signal intensity at time t and S_0 is baseline signal intensity, and then integrating under the $\Delta R2^*(t)$ curve over time points corresponding to the first pass of the contrast bolus.

Preprocessing and Definition of ROIs

The images are preprocessed following a number of steps, including noise reduction, bias-field correction, and rigid intrasubject registration using the FSL library of analysis tools (23). The coregistration of all sequences (T_1 , T1ce, T_2 , FLAIR, rCBV) is required in order to extract features from the ROIs and is performed with the rigid registration algorithm FLIRT (24) from FSL. The intensity levels are made comparable across subjects by histogram matching. For this purpose skull stripping is first performed using brain extraction tool (BET) (25) to generate a brain tissue mask from the T_1 image, which is then used to extract the brain region from all other coregistered sequences. A linear transformation of the intensities (translation and scaling) is applied in order to minimize the L_2 -norm of the histogram difference between each subject and a template image. Histogram matching is not applied to the rCBV maps, calculated from the perfusion sequence.

The features were extracted from ROIs manually traced by two expert neuroradiologists. A maximum of four ROIs was used:

- ROI1 (neoplastic, enhancing), ROI2 (neoplastic, non-enhancing): includes all non-necrotic enhancing neoplastic tissue, or, if the lesion did not show enhancement, the whole non-necrotic T_1 -hypointense neoplastic tissue, avoiding peritumoral edema by tracing the FLAIR image.
- ROI3 (necrotic): this ROI is delineated only in cases including necrotic tumor tissue by tracing the coregistered T1ce, T_1 , T_2 , and FLAIR images and by excluding hemorrhage.
- ROI4 (edematous): FLAIR and T_2 images are used to depict the peritumoral edema (possibly including neoplastic infiltration), drawing the ROI surrounding the high signal intensity seen on these sequences.

It should be noted that the ROIs are drawn based on the signal intensity of different sequences and are not expected to be highly specific to each tissue type. For example, nonenhancing neoplastic tissue and edematous tissue might overlap and be both present in ROI2 or ROI4.

Feature Description

We chose a large number of features (161) for investigation, which included age, tumor shape characteristics, image intensity characteristics within some of the ROIs, and Gabor texture features, as explained next.

Shape and Statistical Characteristics of Tumor (Evaluated in ROI1 \cup ROI2 \cup ROI3)

Five shape features (s_i , $i = 1, \dots, 5$) of the total tumor area are investigated, i.e., the tumor circularity, irregularity, rectangularity, the entropy of radial length distribution of the boundary voxels, and the surface-to-volume ratio. Also three statistical features are calculated, i.e., the ratio of enhancing (v_{enh}), necrotic (v_{nec}), and edematous (v_{edm}) tumor volume vs total (enhancing and nonenhancing) tumor volume.

Image Intensity Characteristics

The mean (μ) and variance (var) of image intensities of T_1 , T1ce, T_2 are calculated in the central and marginal area of ROI1, ROI2 and ROI3. For FLAIR images, the same intensity characteristics are extracted from ROI4. For the rCBV maps, since hyperintense areas are indicative but not specific to tumor, we first mask out areas that appear hypointense in FLAIR and then calculate the mean and variance of rCBV in the central and marginal region of ROI1, ROI2, and ROI4. More analytically, the voxels with low intensity on the FLAIR image, such as ventricles or peripheral vessels in the cortical sulci, are excluded from the analysis of the rCBV maps because they may represent normal vasculature that may be indistinguishable from abnormal neovascularity due to neoplastic infiltration. All intensity-related features sum up to 52 features in total. These features are denoted as $\mu_C^R(I)$, $var_C^R(I)$, when measured in the central area and $\mu_m^R(I)$, $var_m^R(I)$, when measured in the marginal area, where $R \in \{1, \dots, 4\}$ for ROI1 to ROI4, and $I \in \{T_1, T1ce, T_2, FLAIR, rCBV\}$.

Gabor Texture

The voxel-wise texture features of image $I(x,y,z)$ are extracted at each tomographic slice of the three-dimensional ROI by convoluting with 2D Gabor filters (26,27) and averaging inside the ROI. The 2D Gabor filters are mathematically described at location (x,y) as

$$g_{\lambda,\theta,\sigma,\psi}(x,y) = \exp\left(-\frac{x_0^2 + \gamma^2 y_0^2}{2\sigma^2}\right) \cos\left(\frac{2\pi}{\lambda}x_0 + \psi\right)$$

where

$$x_0 = x\cos(\theta) + y\sin(\theta) \text{ and } y_0 = -x\sin(\theta) + y\cos(\theta)$$

and $\lambda = 1/f$ is the wavelength, θ the orientation, γ the spatial aspect ratio that determines the eccentricity of the convolution kernel and which was taken as $\gamma = 1$ in this study, and ψ the phase offset that determines the symmetry of the Gabor function. The standard deviation σ of the gaussian factor determines the neighborhood of a voxel in which weighted summation takes place. The ratio σ/λ

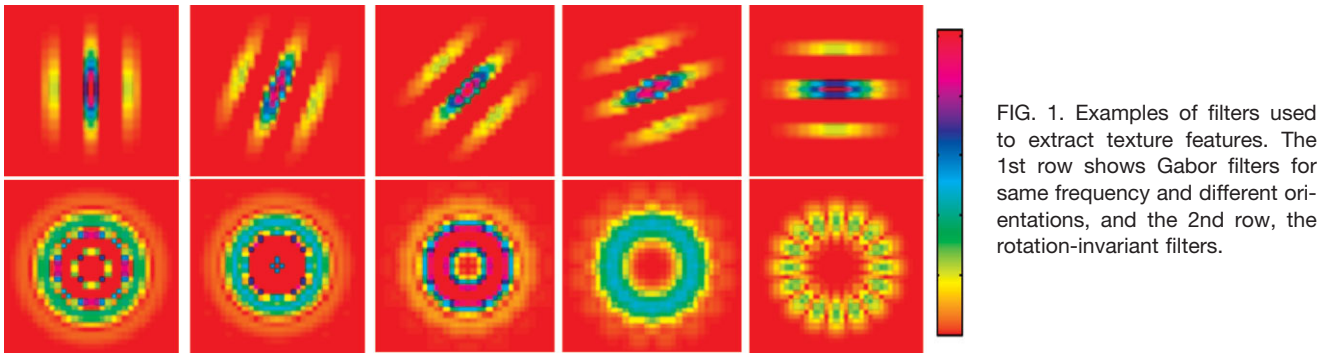


FIG. 1. Examples of filters used to extract texture features. The 1st row shows Gabor filters for same frequency and different orientations, and the 2nd row, the rotation-invariant filters.

determines the spatial frequency bandwidth. We used a constant ratio σ/λ (27), and therefore σ is not considered as an independent parameter.

We calculated the texture by combining the output of a symmetric ($\psi = 0$) and antisymmetric ($\psi = \pi/2$) Gabor kernel using the L_2 -norm (27), as shown below:

$$G_{\lambda,\theta}(x,y) = \sqrt{(I(x,y) \otimes g_{\lambda,\theta,\psi=0}(x,y))^2 + (I(x,y) \otimes g_{\lambda,\theta,\psi=\pi/2}(x,y))^2}$$

where \otimes denotes 2D linear convolution. Then, in order to make the average Gabor features rotation invariant, for each radial frequency f fast Fourier transform is performed across orientation θ (22). Suppose we use $N\theta$ orientations within a period of π , then $N\theta$ magnitudes of Fourier coefficients can be obtained for each frequency f , but only the first $N_c = N\theta/2 + 1$ coefficients for each frequency are unique.

We extract the texture features from the T1ce in ROI1 \cup ROI2 \cup ROI3 and from FLAIR in ROI4. We use five wavelengths, $\lambda = \{2\sqrt{2}, 4, 4\sqrt{2}, 8, 8\sqrt{2}\}$, and $N\theta = 8$ orientations in $[0, \pi]$ which after the fast Fourier transform produce $N_c = N\theta/2 + 1 = 5$ unique coefficients for each frequency.

Therefore for each bandwidth we obtain 25 rotation-invariant texture features, $g_l^c(I)$, where $l = 1, \dots, 5$ is the wavelength index and $c = 1, \dots, 5$ is the index on fast Fourier transform coefficients and $I \in \{T1ce, FLAIR\}$. We used two bandwidth values (1 and 1.5) and finally obtained 100 features in total describing texture in T1ce and FLAIR for tumor classification.

Figure 1 illustrates in the first row the Gabor filter for a single frequency across the first five (out of eight) orientations and in the 2nd row the rotation-invariant filters after fast Fourier transform for the same frequency. Figure 2 illustrates examples of brain tumor types and the corresponding texture images. The first row shows one axial slice of the T1ce image with the tumoral region of interest (ROI1 \cup ROI2 \cup ROI3) indicated by a red line. The 2nd row shows the same slice in FLAIR image zoomed around the tumor region. As an example of texture, one pattern extracted from FLAIR is shown over the edematous area (ROI4). The illustration shows the voxelwise texture without averaging over the area of interest.

It should be noted that texture features are affected by the MRI acquisition parameters, especially the spatial res-

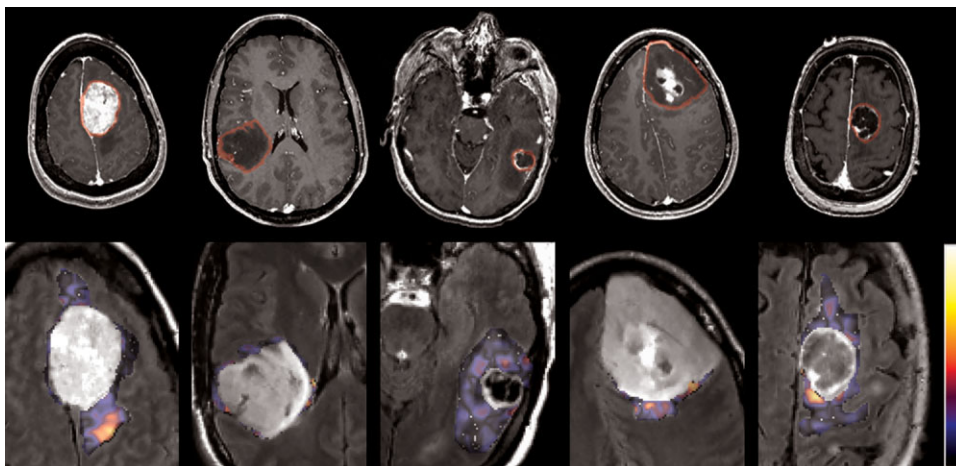


FIG. 2. MR images of different of brain tumor types and an example of texture images extracted from the edematous area. From left to right: meningioma, glioma grade II, grade III, grade IV, and metastasis. First row: T1ce image with the tumoral region of interest. Second row: FLAIR image (zoomed in the tumor region) overlaid with one of the textural patterns ($\lambda = 8$). This pattern is shown here as voxelwise texture for illustration purposes and is not equivalent to our calculations. The average texture values (calculated before fast Fourier transform) (feature g_3^1) proved to be significant in discrimination of meningiomas.

olution (28). Therefore it is important to use the same acquisition protocol during training of the classifier and during testing, i.e., when the classifier learns the imaging characteristics and when the classifier recognizes a new case, respectively.

Feature Selection

Feature selection methods can be divided into feature ranking methods and feature subset selection methods (29). The feature ranking methods compute a ranking score for each feature according to its discriminative power and then simply select the top ranked features as final features for classification. These feature selection methods are preferable for high dimensional problems due to their computational scalability. However, the subset of features selected by the feature ranking methods might contain a lot of redundant features since the ranking score is computed independently for each feature, by completely ignoring its correlation with others. On the contrary, the feature subset selection methods focus on selecting a subset of features that jointly have better discriminative power. In general, sophisticated subset selection methods have better classification performance than feature ranking methods, but their high computational cost usually limits their applications to the high dimensional problems. Since combinatorial search of the optimal combination of features would be computationally prohibitive, we combine the advantages of both the feature ranking method and the feature subset selection method. Specifically, we first reduce the number of features by eliminating the less relevant features using a forward selection method based on a ranking criterion and then apply backward feature elimination using a feature subset selection method, as explained next.

Ranking-Based Criterion

We use a simple ranking-based feature selection criterion, a two-tailed t test, which measures the significance of a difference of means between two distributions (30), and therefore evaluates the discriminative power of each individual feature in separating two classes. If the features are assumed to come from normal distributions with unknown but equal variances, the t statistic is defined as:

$$t = \frac{\bar{x}_1 - \bar{x}_2}{\sqrt{\frac{(N_1 - 1)v_1 + (N_2 - 1)v_2}{N_1 + N_2 - 2} \left(\frac{1}{N_1} + \frac{1}{N_2} \right)}}$$

where \bar{x}_1 , v_1 and \bar{x}_2 , v_2 are the sample mean and sample variance of a particular feature in 1st and 2nd class, respectively. N_1 and N_2 are the number of samples in each class.

Since the correlation among features has been completely ignored in this feature ranking method, redundant features are inevitably selected, which ultimately affects the classification results. Therefore, we use this feature ranking method to select the more discriminative features, e.g., by applying a cutoff ratio (P value $\leq \alpha$, where $\alpha = 0.1$ is the significance level for rejecting the null hypothesis), and then apply the feature subset selection method on the reduced feature space, as detailed in the next para-

graph. The remaining features (with P value $> \alpha$) are ranked by applying the t test using a bagging strategy (31). Specifically, the training set is divided in five folds and the features are ranked at each fold separately. Then the rankings are combined to produce the total rank for each feature based on forward selection.

Feature Subset Selection Method Based on SVM

SVM-based feature selection methods have been successfully applied in a variety of problems. One good example is the support vector machine recursive feature elimination (SVM-RFE) algorithm, which was initially proposed for a cancer classification problem (32) and was later extended by introducing SVM-based leave-one-out error bound criteria in (33). The goal of SVM-RFE is to find a subset of features that optimize the performance of the classifier. This algorithm determines the ranking of the features based on a backward sequential selection method that removes one feature at a time. At each time, the removed feature makes the variation of SVM-based leave-one-out error bound smallest, compared to removing other features.

The training data set $\{x_k, y_k\} \in \mathcal{R}^n \times \{-1, 1\}$ consists of the training patterns x_k and the known class labels y_k , where $k = 1, \dots, N_S$ ($N_S = N_1 + N_2$ is the total number of training samples belonging to both classes). We apply the zero-order method for identifying the variable that produces the smallest value of the ranking criterion when removed, and use the weight magnitude $\|w\|^2$ as ranking criterion, defined as

$$\|w^{(i)}\|^2 = \sum_{j=1}^{N_S} \sum_{k=1}^{N_S} a_k^{(i)} a_j^{(i)} y_k y_j K^{(i)}(x_k, x_j) \quad [1]$$

where $K^{(i)}$ is the Gram matrix of the training data (see Eq. 2) when the variable i is removed and $a^{(i)}$ is the corresponding solution of the SVM classifier.

Constrained LDA

For the purpose of comparison of the previously described feature selection algorithm with a commonly used dimensionality reduction method, such as LDA, we also investigated the performance of the constrained LDA algorithm for feature selection (34). Constrained LDA maximizes the discriminant capability between classes without transforming the original features, as done by traditional LDA or principal component analysis. This is important because it allows preservation of the physical meaning of the input variables and assessment of the contribution of each original variable in classification.

Calculating Total Rank by Combination

Classification is performed by following a leave-one-out strategy on the training samples. For each leave-one-out experiment, feature ranking is performed using data only from the training samples. The feature selection method is implemented in each training subset in order to correct for the selection bias (35). It is important that cross-validation be external to the feature selection process in order to more

accurately estimate the prediction error. Evidently, there is no guarantee that the same subset of features will be selected at each leave-one-out experiment. We combine the rankings of all leave-one-out experiments and report the total rank of features (in Table 2) according to the frequency of a feature appearing in a specific rank. For example the top-ranked feature is assumed to be the one that more frequently has the highest ranking score, regardless of the distribution of the scores it receives across experiments. In order to assess if the features are selected consistently, we use two measures: the entropy (E) to evaluate the certainty in ranking and the standard deviation (S) to measure the compactness. These measures are normalized between 0 (no consistency) and 1 (same rank in all experiments).

Classification

Classification was performed by starting with the more discriminative features and gradually adding less discriminative features, until classification performance no longer improved. Three pattern classification methods were investigated for comparison: LDA with Fisher's discriminant rule (36), k -nearest neighbor (k -NN) (37), and nonlinear SVMs (33). In LDA, a transformation function is sought that maximizes the ratio of between-class variance to within-class variance. Since usually there is no transformation that provides complete separation, the goal is to find the transformation that minimizes the overlap of the transformed distributions. k -NN neighbor classification is performed based on closest training examples in the feature space. In SVMs, the original input space is mapped into a higher dimensional feature space in which an optimal separating hyperplane is constructed such that the distance from the hyperplane to the nearest data point is maximized. Due to this property, SVM classifiers tend to possess good generalization ability.

A critical parameter in SVM is the penalty parameter C . A larger C corresponds to assigning a higher penalty to misclassification. Since the data are unbalanced and the sample size is rather small to produce balanced classes by subsampling the largest class, we used a weighted SVM¹ (38) to apply larger penalty to the class with the smaller number of samples. If the penalty parameter is not weighted (equal C for both classes), there is an undesirable bias toward the class with the large training size; thus we set the ratio of penalties for the two classes, C_1 and C_2 , (in each binary classification), to the inverse ratio of the training class sizes (38). The kernel function used in our SVM classifier is gaussian radial basis function kernel. The Gram matrix for two feature vectors x_i, x_j is defined as

$$K(x_i, x_j) = \exp\left(-\frac{\|x_i - x_j\|^2}{s^2}\right) \quad [2]$$

where s controls the size of the gaussian kernel. We defined s to be adaptive to the number of retained features (N_F), using the equation $s = k \cdot N_F \cdot \log(N_F)$, where k is a

constant. The aim was to include a constant fraction of the training samples in a ball in feature space of size s for any dimensionality (number of features). The constant k was determined such that the fraction of the training samples contained in the kernel is approximately 20%.

The multiclass problem was solved by constructing and combining several binary classifiers into a voting scheme. We applied majority voting from all one-vs-all binary classification problems. For assessing the predictive ability of the classification scheme, we applied leave-one-out cross-validation. In the future, when more training data will be available for each class, we will assess the generalization ability through 3-fold cross-validation and will further optimize the classification parameters C and s for each classification problem by exhaustive search.

RESULTS

We applied leave-one-out external cross-validation in classifying meningioma (MEN), glioma of grades II, III, and IV (GL2, GL3, and GL4, respectively), and metastasis (MET) by applying three different classification methods (LDA, k NN, nonlinear SVM) and two feature ranking methods (t test with bagging, constrained LDA). The results are presented in Table 1. The first column in Table 1 shows the tumor type to be classified. The other columns show the number of selected features (N_F) giving the highest classification accuracy (the feature selection step was cross-validated; however, we currently have no automated way of selecting the number of features; hence, we recorded performance as a function of the number of features), the classification accuracy (ACC), defined as the percentage of correctly classified samples, and the area under the receiver operating characteristic curve (AUC). The last row shows the corresponding mean values. The results show that the classification accuracy is higher when using SVM, as expected. The number of features giving highest accuracy is overall smaller when t test is used as ranking criterion vs constrained LDA.

Subsequently, we investigated the performance of SVM using the SVM-RFE algorithm for feature selection and assessed the contribution of each feature in classification. The results are shown in Table 2. The first 10 rows show the classification between two single tumor types, similar to Table 1, whereas the last two rows show the classification between combined types: secondary vs primary gliomas (i.e., metastases vs gliomas grades II, III, IV) and low vs high grade gliomas (grade II vs III and IV). Those two classification problems are clinically relevant since treatment is usually adapted accordingly. Meningiomas are not included in the combined classification problems because they differ from the glial tumors and metastases in both origin and behavior.

Table 2 also shows the top-ranked features (thresholded based on t statistic and ranked by the SVM-RFE algorithm for each classification task. Not all selected features are shown in the third column, but only the overall top ranked calculated by combining all leave-one-out results. The notation used for these features is described analytically in the Feature Description section. The subsequent columns show the sensitivity,

¹Chang C-C, Lin C-J. LIBSVM: a library for support vector machines, 2001. Software available at <http://www.csie.ntu.edu.tw/~cjlin/libsvm>.

Table 1
Binary Classification Accuracy (Acc) and AUC Obtained by Leave-One-Out Cross-Validation Using Different Classifiers (LDA, k -NN, SVM) and Feature Ranking Methods (t Test With Bagging or CLDA)

| Classifier feature ranking | LDA | | | | | | k -NN ($k = 3$) | | | | | | SVM | | | | | |
|----------------------------------|----------|------|------|-------|------|------|---------------------|------|------|-------|------|------|----------|------|------|-------|------|------|
| | t Test | | | CLDA | | | t Test | | | CLDA | | | t Test | | | CLDA | | |
| | N_F | Acc | AUC | N_F | Acc | AUC | N_F | Acc | AUC | N_F | Acc | AUC | N_F | Acc | AUC | N_F | Acc | AUC |
| MET-MEN | 12 | 92.9 | 96.9 | 27 | 85.7 | 75.0 | 11 | 96.4 | 99.0 | 32 | 89.3 | 76.6 | 5 | 96.4 | 97.9 | 1 | 85.7 | 83.3 |
| MET-GL2 | 79 | 95.7 | 95.5 | 8 | 84.8 | 87.7 | 2 | 97.8 | 97.4 | 55 | 97.8 | 97.3 | 2 | 97.8 | 97.7 | 3 | 95.7 | 98.9 |
| MET-GL3 | 1 | 81.0 | 89.1 | 12 | 83.3 | 85.4 | 70 | 90.5 | 88.0 | 152 | 88.1 | 89.9 | 8 | 88.1 | 88.4 | 10 | 88.1 | 87.5 |
| MET-GL4 | 2 | 58.6 | 59.4 | 95 | 77.6 | 76.5 | 9 | 74.1 | 71.9 | 16 | 79.3 | 78.1 | 91 | 84.5 | 100 | 150 | 89.7 | 100 |
| MEN-GL2 | 1 | 100 | 100 | 1 | 100 | 100 | 1 | 96.2 | 100 | 2 | 96.2 | 87.5 | 1 | 96.2 | 95.5 | 12 | 96.2 | 98.9 |
| MEN-GL3 | 3 | 81.8 | 56.9 | 5 | 95.5 | 95.8 | 15 | 95.5 | 85.4 | 2 | 90.9 | 81.9 | 9 | 86.4 | 90.3 | 4 | 90.9 | 91.7 |
| MEN-GL4 | 4 | 86.8 | 80.9 | 11 | 100 | 100 | 12 | 97.4 | 87.5 | 4 | 97.4 | 86.8 | 10 | 97.4 | 99.3 | 36 | 94.7 | 94.9 |
| GL2-GL3 | 74 | 70.0 | 58.6 | 101 | 77.5 | 66.4 | 9 | 67.5 | 68.3 | 1 | 72.5 | 75.1 | 51 | 72.5 | 69.2 | 1 | 75.0 | 77.3 |
| GL2-GL4 | 11 | 76.8 | 76.1 | 19 | 78.6 | 74.5 | 33 | 98.2 | 97.6 | 38 | 98.2 | 97.6 | 18 | 98.2 | 98.0 | 12 | 98.2 | 98.5 |
| GL3-GL4 | 5 | 67.3 | 61.8 | 35 | 69.2 | 45.4 | 154 | 84.6 | 73.2 | 141 | 84.6 | 71.2 | 104 | 94.2 | 100 | 78 | 92.3 | 89.9 |
| Mean | 19.2 | 81.1 | 77.5 | 31.4 | 85.2 | 80.7 | 31.6 | 89.8 | 86.8 | 44.3 | 89.4 | 84.2 | 29.9 | 91.2 | 93.6 | 30.7 | 90.6 | 92.1 |

specificity, accuracy, and area under the receiver operating characteristic values, respectively.

The results of Table 2 show that perfusion is an important MR imaging technique for most classification tasks. The enhancing portion in T1ce appears as significant parameter in the distinction of meningioma from gliomas of all grades; specifically in the case of glioblastoma, the enhancing portion in T1ce is selected as a single feature and achieves 97.4% accuracy. A combination of multiparametric features, including T_2 and T_1 precontrast imaging characteristics, is used for classifying primary glioma vs metastasis (with accuracy 84.7%) and low- vs high-grade glioma (with accuracy 87.8%). Gabor texture seems also to

be a relevant pattern. It is interesting to note that usually the large wavelengths ($\lambda = 8$ or $8\sqrt{2}$) appear as part of the selected patterns.

For most binary classification pairs, the number of features selected with SVM-RFE giving the highest classification accuracy is relatively small, which means that the chance of overfitting is reduced and the generalization ability improved. The feature reduction is an important advantage of SVM-RFE over the simple t test. Although the accuracy over all classification tasks is on average almost the same when applying SVM-RFE as a second step after t test, the average number of selected features over all classification tasks is overall reduced by 33% ($\bar{N}_F = 30$ in the

Table 2
Binary Classification Results Obtained by Leave-One-Out Cross-Validation Using SVM for Classification and SVM-RFE for Feature Selection

| Binary classification | N_F | Overall top-ranked features (maximum eight features are shown) | Sensitivity | Specificity | Acc | AUC |
|-----------------------|-------|--|-------------|-------------|------|------|
| MET-MEN | 6 | $var_m^1(rCBV)$, $g_m^3(FLAIR)$, $g_m^3(FLAIR)$, $\mu_c^3(T1ce)$, $\mu_c^3(T1)$, $var_m^1(rCBV)$ | 75.0 | 100 | 96.4 | 97.9 |
| MET-GL2 | 17 | $\mu_m^3(T1ce)$, $\mu_m^1(T1)$, $\mu_c^3(T1ce)$, $var_c^4(FLAIR)$, $var_m^1(T2)$, $\mu_c^1(T1ce)$, $var_m^1(rCBV)$, $\mu_m^3(T1)$ | 95.5 | 100 | 97.8 | 97.9 |
| MET-GL3 | 13 | $g_m^4(FLAIR)$, s_2 , $var_c^4(FLAIR)$, $\mu_c^2(T2)$, $var_c^1(T1ce)$, $var_m^2(rCBV)$, $var_m^4(FLAIR)$, $\mu_m^1(T1)$ | 83.3 | 91.7 | 88.1 | 87.7 |
| MET-GL4 | 11 | $\mu_c^2(T2)$, $g_m^4(T1ce)$, $var_m^1(T2)$, $var_c^2(T2)$, v_{edm} , $\mu_c^2(T1ce)$, $var_c^1(rCBV)$, $\mu_c^4(FLAIR)$ | 88.2 | 70.8 | 81.0 | 81.9 |
| MEN-GL2 | 9 | v_{enh} , $var_c^1(rCBV)$, $var_m^1(rCBV)$, $var_m^1(T1)$, $\mu_c^2(T1)$, $\mu_m^2(T1)$, $var_m^4(rCBV)$, $g_m^3(FLAIR)$ | 100 | 75.0 | 96.2 | 100 |
| MEN-GL3 | 15 | v_{enh} , $var_m^1(rCBV)$, $\mu_c^2(T1)$, s_3 , $\mu_m^2(T2)$, $g_m^3(FLAIR)$, $var_m^1(rCBV)$, $var_m^1(T1)$ | 94.4 | 75.0 | 90.9 | 91.7 |
| MEN-GL4 | 1 | v_{enh} | 100 | 75.0 | 97.4 | 79.4 |
| GL2-GL3 | 43 | $\mu_c^1(T1)$, $\mu_m^1(T1)$, $var_c^1(rCBV)$, s_4 , $\mu_c^4(FLAIR)$, $\mu_c^1(T1ce)$, $var_c^1(T1)$, $\mu_m^2(T1)$ | 55.6 | 90.9 | 75.0 | 71.0 |
| GL2-GL4 | 7 | $\mu_c^3(T1ce)$, $var_m^1(rCBV)$, $var_c^4(FLAIR)$, $var_c^1(rCBV)$, $g_m^3(FLAIR)$, v_{enh} , $g_m^3(FLAIR)$ | 100 | 90.9 | 96.4 | 98.9 |
| GL3-GL4 | 76 | $\mu_c^3(T1ce)$, $var_c^1(rCBV)$, age , $var_c^4(FLAIR)$, $g_m^3(FLAIR)$, v_{enh} , v_{nec} , $\mu_c^3(T1)$ | 100 | 72.2 | 90.4 | 98.5 |
| MET-GL | 16 | v_{edm} , $\mu_c^2(T2)$, $var_m^1(T2)$, $var_c^2(T2)$, $g_m^3(T1ce)$, $\mu_c^2(rCBV)$, $g_m^3(T1ce)$, $var_c^1(T1)$ | 86.5 | 79.2 | 84.7 | 88.2 |
| Low-high grade | 24 | $var_m^4(rCBV)$, $\mu_c^3(T1ce)$, $\mu_c^1(T1)$, $g_m^3(FLAIR)$, $\mu_c^2(FLAIR)$, $\mu_c^1(T2)$, $var_c^1(T1)$, s_2 | 84.6 | 95.5 | 87.8 | 89.6 |

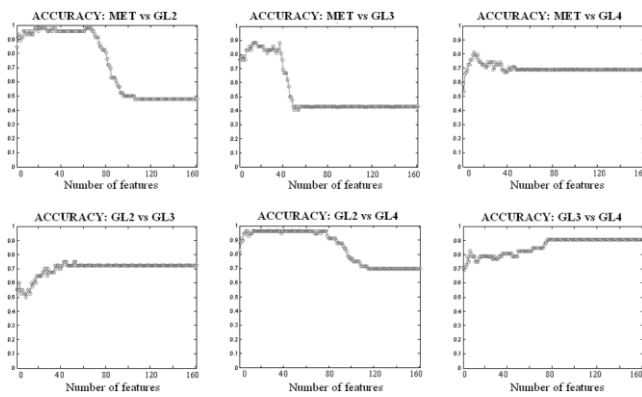


FIG. 3. Classification accuracy (with SVM-RFE) vs number of retained features for classification of metastasis vs gliomas grade II, III, or IV (1st row) and glioma grading (2nd row).

case of t test and $\bar{N}_F = 20$ in the case of SVM-RFE). Figure 3 and Fig. 4 (1st row) show the classification accuracy with increasing number of retained features. The plots illustrate that the fluctuations of accuracy around the optimal number of features are small and the method is not very sensitive to the exact number of selected features, except in the case of metastasis vs GBM, where the plateau is quite narrow. The feature selection method in general eliminates the redundant features, reduces the noise, and builds groupings that are both robust and accurate.

Accuracy is relatively high for all classification pairs except for grade II vs grade III glioma. These two types of tumor have common characteristics due to the mixed histology and are difficult to differentiate. On the other hand, the accuracy in classifying metastasis from primary glioma

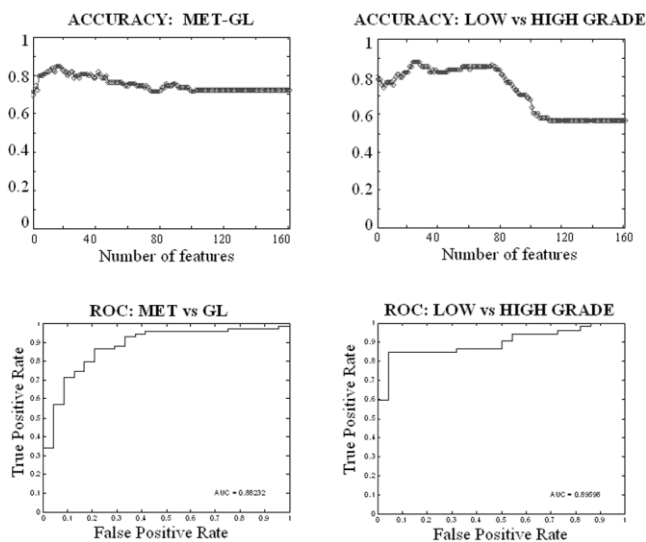


FIG. 4. Classification accuracy (with SVM-RFE) vs number of retained features (1st row) and receiver operating characteristic (ROC) analysis (2nd row) for two main classification problems: metastases vs primary gliomas (grades II, III, IV) shown in the 1st column and low- vs high-grade gliomas (grade II vs III and IV) shown in the 2nd column.

Table 3
Multiclass Confusion Matrix Obtained With One-Versus-All Voting Scheme Using SVM Classifiers With RFE

| | | Prediction, $N_F = 18$ (out of 50) | | | |
|--------------|-----|------------------------------------|-----|-----|-----|
| | | GL2 | GL3 | GL4 | MET |
| Ground truth | GL2 | 20 | 1 | 0 | 1 |
| | GL3 | 8 | 6 | 2 | 2 |
| | GL4 | 0 | 10 | 14 | 10 |
| | MET | 0 | 1 | 1 | 22 |

and low- from high-grade glioma is high, as illustrated in Fig. 4.

Consistency in Feature Selection During Cross-Validation

In order to assess if the same features are selected as discriminant features for each leave-one-out experiment, we calculated the normalized entropy (E) and standard deviation (S) of the ranking scores for each feature. Averaging of E and S across all selected features showed that the highest consistency was observed when distinguishing meningioma from GBM ($\bar{E} = 0.95$, $\bar{S} = 0.99$, $N_F = 1$), as well as from gliomas grade II ($\bar{E} = 0.82$, $\bar{S} = 0.92$, $N_F = 9$) and metastasis ($\bar{E} = 0.71$, $\bar{S} = 0.92$, $N_F = 6$).

Multiclass

For the multiclass problem, one-vs-all SVM classification and majority voting are applied. For each one-vs-all classification task, feature selection is performed using SVM-RFE. Since in the multiclass problem the number of classifications to be performed is large, feature selection with RFE on the total number of features (161) is computationally very expensive. For this reason, we excluded from the evaluation all features that were never (or only once) selected as significant features in all binary classification tasks (Table 2). Accordingly, 50 features were retained and used for feature selection. The confusion matrix with leave-one-out cross-validation is shown in Table 3. Meningiomas were not included due to their small sample size and small clinical value. The highest classification accuracy is achieved for metastasis, where only two (out of 24) samples are misclassified (one as glioma grade III and one as GBM) and for low-grade tumors, with two (out of 22) cases being misclassified (one as glioma grade III and one as metastasis).

DISCUSSION AND CONCLUSIONS

This paper presents a classification scheme for differentiating adult brain tumors using conventional MRI and rCBV maps calculated from perfusion MRI. Shape characteristics, statistics on image intensities, and rotation-invariant Gabor texture features are extracted from the central and marginal tumoral, edematous, and necrotic region. The scheme is fully automated and the help of an expert is not required, except for tracing the ROIs. Overall, we found that SVM-based classification of texture patterns is a very promising approach to developing an objective and quantitative evaluation of brain tumors. However larger datasets need to be analyzed, which is expected to test the

generalization ability of this approach, but also to further improve its performance, as such classification systems perform better if trained more extensively.

The results of multiclass classification (Table 3) illustrate that the highest classification accuracy is achieved for metastasis (91.7%) and low-grade glioma (90.9%), whereas the classification accuracy for GBM is reduced (29.4% are classified as grade III and 29.4% as metastasis). The lowest classification rate in the multiclass problem is for the grade III glioma, where the largest portion (44.4%) is classified as grade II and the smaller portions as GBM (11.1%) or metastasis (11.1%). The prediction of glioma grade is inherently difficult since brain neoplasms are often heterogeneous, meaning that different histopathologic features (such as mitotic index) can be present throughout an individual neoplasm. Therefore, part of our validation relies on examining the distribution of the false positives. The results of Table 3 show that most of the misclassified samples are assigned to a class of close degree of malignancy (grade). The degree of malignancy starts from the less malignant tumors (such as meningiomas) and increases until the high grade gliomas and metastases. The failure of the method to classify grade III gliomas possibly indicates that the extracted features do not form a separate cluster, but are rather similar to the features of the nearby classes (grade II and grade IV). The binary classification tasks, where only tumors from two single types are compared, exhibit higher classification accuracy (mean = 91%; standard deviation = 7.7% over all tasks). The highest accuracy is achieved when distinguishing grade II glioma from metastasis (97.8%), and the lowest, (75%) when distinguishing grade II from grade III glioma.

Generally, the classification accuracy using the proposed method is comparable or higher than in other studies (6) not using spectroscopy or diffusion tensor imaging. The results in Devos et al. (21) using imaging intensities from standard MR alone cannot be immediately compared with ours because (i) they were based on ROIs extracted from spectroscopy imaging, which we want to avoid in this study; and (ii) they reflected the number of voxels correctly classified rather than the number of subjects (voxels from the same image were used as independent samples). In Georgiadis et al. (19), an SVM-based classification system with radial basis function kernel achieved 74.4% overall accuracy in discriminating primary brain tumors from metastases utilizing the external cross-validation method, whereas an artificial neural network classifier performed better (80% accuracy). The features employed in that study were solely textural features from the T1ce MR images. Our analysis, achieving 84.7% accuracy on the leave-one-out cross-validation error, also showed that Gabor textural features from T1ce are important for this classification task combined with statistical parameters (mean, variance, etc.) from other imaging sequences (T_2 , rCBV). Moreover, our results in glioma grading (low vs high grade) are comparable with the ones reported in Li et al. (20) (the accuracy in Li et al. (20) was assessed by cross-validation not being external to the feature-selection process). This study was based on descriptive features estimated by domain experts, whereas we applied features extracted in a semiautomatic way.

The feature subset selection method shows that all MR sequences are important for classification since different features are selected for different classification tasks (Table 2). The rCBV maps calculated from perfusion seem to be particularly important since parameters extracted from those are usually top ranked in most classification pairs. Also, contrast-enhanced T_1 is a significant sequence; the volume of enhancement as percentage of total tumor volume (v_{enh}) is used as single feature for distinguishing between meningioma and GBM and is also part of the top-ranked features for other classification tasks. Texture calculated on specific frequencies also contributes to tumor classification. Textural parameters extracted from the edematous area in FLAIR seem to be significant for glioma grading, whereas texture in the neoplastic area in T1ce is important for distinguishing metastatic from glial tumors.

The features currently used for classification are extracted from the imaging profile and describe shape and texture. We have not incorporated features describing the deformation of the healthy structure due to tumor growth. It is known that different tumor types affect the surrounding healthy region differently, and therefore studies have used the tumor mass effect as a descriptor for classifying gliomas according to their clinical grade (20) or as an independent predictor of survival (39). For this purpose, we recently proposed an automated method for quantifying the mass effect (40) by measuring how much the deformation in the tumor origin deviates from the range observed in a normal population. We plan to incorporate the indicator of mass effect as an additional parameter in our classification framework in the future.

Moreover, a limitation of this framework comes from the need for tracing ROIs, which makes the current approach semiautomatic and subject to intra- and interobserver variability. However, it should be also noted that most of applied features are based on spatial averaging and therefore are not very sensitive to small differences in the delineation of ROIs. The most sensitive features are expected to be the ones calculated over the marginal area of the ROIs. We have investigated in the past the use of conventional and advanced MR imaging for automatic segmentation of neoplastic and healthy tissue (41). We plan in the future to combine the two frameworks in order to automatically segment and classify brain neoplasms. The aim of this study is to assess the discrimination ability of standard MR imaging usually acquired in most clinical facilities. The use of imaging intensities from standard MR alone reaches lower performance than when combined with spectroscopy (21). In the future, we plan to incorporate also diffusion tensor imaging and spectroscopy in our analysis for assessing the increase in classification accuracy. Other data based on microscopy imaging or histopathological examinations could also be included to increase accuracy in predictions. For example, nuclear features extracted from segmented nuclei (42) or blood vessel patterns (43) can assist brain astrocytoma malignancy grading, and the spatial organization of tumor vessels can be indicative for differentiation between medulloblastomas and supratentorial primitive neuroectodermal tumors (PNETs) (44). Voxel-wise histopathological parameters that reflect proliferation and protein synthesis or PET imaging could also be used, if available, in order to extend

the current framework to output spatial maps of malignancy (instead of a single grade), providing more reliable differentiation of heterogenous neoplasms.

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